



# Practitioner's Docket No. 740789-56330

#### **PATENT**

#### IN THE UNITED STATES RECEIVING OFFICE

PCT/GB2003/003054	14 July 2003 (14.07.2003)	13 July 2002 (13.07.2002)
International Application Number	International Filing Date	International Earliest Priority Date

TITLE OF INVENTION: FLAVONOID COMPOUNDS AS THERAPEUTIC ANTIOXIDANTS

**APPLICANT(S) FOR EO/DO:** 

ROWETT RESEARCH INSTITUTE

INVENTOR(S)/APPLICANT(S) FOR US: CALDWELL, Stuart Thomas; BENNETT, Christopher

James; HARTLEY, Richard Charles; MCPHAIL,

Donald Barton; DUTHIE, Garry Graeme

### **CERTIFICATE OF MAILING**

I hereby certify that this correspondence, on the date shown below, is being deposited with the United States Postal Service with sufficient postage as Express Mail No. ET868722428US in an envelope addressed to MAIL STOP PCT, Commissioner of Patents, Box 1450, Alexandria, VA

22313-1450.

Date: January 13, 2005

Linda M. Ginsberg

MAIL STOP PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir/Madam:

## INFORMATION DISCLOSURE STATEMENT

In accordance with the provisions of 37 C.F.R. §1.56, 1.97, and 1.98, Applicants wish to bring to the Examiner's attention the following references, References A1, and C1-C3 cited in the attached Forms PTO/SB/08a and b.

### **REMARKS**

In accordance with the provisions of 37 C.F.R. §1.97, this statement is being

filed:

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(1) within three (3) months of the Filing Date or before the mailing date of the First Office Action on the merits; or

# INTERNATIONAL APPL. NO.

## INT. FILING DATE

# 10/521232 OT15 Rec'd PCT/PTO 13 JAN 2005 PRIORITY DATE CLAIMED

PCT/GB2003/003054

14 July 2003



	(2)	within three months of the mailing date of the PCT International Search Report; or					
	(3)	after the period defined in (1) but before the mailing date of a <b>Final Rejection</b> or <b>Notice of Allowance</b> , and the requisite Certification or fee under Rule 1.17(p), namely \$180.00, is included herein; or					
	(4)	after the mailing date of a <b>Final Rejection</b> or <b>Notice of Allowance</b> but before the payment of the <b>Issue Fee</b> , and the requisite Certification, petition, and petition fee are included herein.					
<u>X</u>	A copy of the International Search Report is enclosed herewith.						
		spectfully requested that each of the documents shown on the attached PTO/SB/08a and b be made of record in this application.					
·	Copies	of these documents (CHECK ONE):					
_X	are enclosed herewith that have not been previously submitted; or						
	have be	een cited in the parent application, and are thus not being resubmitted					

## FEE AUTHORIZATION

The Commissioner is authorized to charge fee deficiencies or credit overpayments associated with this submission to the NIXON PEABODY LLP Deposit Account No. 50-0850.

Date: January 13, 2005

Respectfully submitted,

David S. Resnick (Reg. No. 34,235) Nicole L.M. Valtz (Reg. No. 47,150)

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PTO/SB/08a (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
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Substitute for form 1449A/PTO		Complete if Known			
				Application Number	PCT/GB2003/003054
INFORMATION DISCLOSURE				Filing Date	To be assigned
STATEMENT BY APPLICANT  (Use as many sheets as necessary)		First Named Inventor	Stuart Thomas Caldwell		
		Art Unit	To be assigned		
		Examiner Name	To be assigned		
Sheet	1	of	1	Attorney Docket Number	740789-56330

Examiner	Cito	Degraped Months	U. S. PATENT		
Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (if known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	Al	<sup>US-</sup> 5,280,024	01/18/1994	Bolland et al.	
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	FOREIGN PATENT DOCUMENTS								
Initials* No.1		Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>			
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Examiner Signature	Date Considered

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6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08b (08-03)
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Substitute for form 1449B/PTO					Complete if Known
				Application Number	PCT/GB2003/003054
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Filing Date	To be assigned
				First Named Inventor	Stuart Thomas Caldwell
				Art Unit	To be assigned
(Use as many sheets as necessary)			)	Examiner Name	To be assigned
Sheet	1	of	1	Attorney Docket Number	740789-56330

		NON PATENT LITERATURE DOCUMENTS		
xaminer iitials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²	
	C1	DE MEYER, N. et al., J. Med. Chem., 34:736-746, (1991).		
	C2	MIRANDA, C.L. et al., J. Agric. Food Chem., 48:3876-3884, (2000).		
	C3	SUTTHIVAIYAKIT, S. et al., Tetrahedron, 58:3619-3622, (2002).		
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Examiner Date Signature Considered	

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